

# **Kafka Streams: Hands-on Session**

**A.A. 2023/24**  
**Matteo Nardelli**

**Laurea Magistrale in**  
**Ingegneria Informatica - II anno**

# The reference Big Data stack

---

**High-level Interfaces**

**Data Processing**

**Data Storage**

**Resource Management**

**Support / Integration**

## Kafka Streams:

- Kafka Streams is a **client library** for processing and analyzing data stored in Kafka
- Supports fault-tolerant local state
- Supports exactly-once processing semantics
- Employs **one-record-at-a-time** processing
- Offers necessary stream processing primitives:
  - high-level Streams DSL (Domain Specific Language)
  - low-level Processor API

### Read more

- <https://kafka.apache.org/documentation/streams>
- <https://kafka.apache.org/documentation/streams/core-concepts>
- <https://kafka.apache.org/documentation/streams/developer-guide/dsl-api.html>
- <https://kafka.apache.org/documentation/streams/developer-guide/processor-api.html>

# Kafka Streams: Main Concepts

---

## Kafka Stream API:

- transforms and enriches data;
- supports **per-record** stream processing with millisecond latency (no micro-batching);
- supports **stateless** processing, **stateful** processing, **windowing** operations

Write standard Java applications to process data in real time:

- no separate cluster required
- elastic, highly scalable, fault-tolerant
- supports exactly once semantics as of 0.11.0

The Kafka Stream API interacts with a Kafka cluster

The application **does not** run directly on Kafka brokers

# Data partitioning: Kafka & Kafka Streams

---

- Kafka Streams uses the concept of **partitions** and **tasks** as logical units of processing based on Kafka topic partitions.
- Kafka partitions data for **storing** and **transporting** it. Kafka Streams partitions data for processing it.
- Partitioning enables data locality, scalability, high performance, and fault tolerance.

# Data partitioning: Kafka & Kafka Streams

---

There is a close link between Kafka Streams and Kafka in the context of **parallelism**:

- Each stream partition is a **totally ordered sequence** of data records and maps to a Kafka topic partition.
- A data record in the stream maps to a Kafka message from that topic.
- The **keys** of data records determine the partitioning of data in both Kafka and Kafka Streams, i.e., how data is routed to specific partitions within topics.

# Kafka Streams: Topology

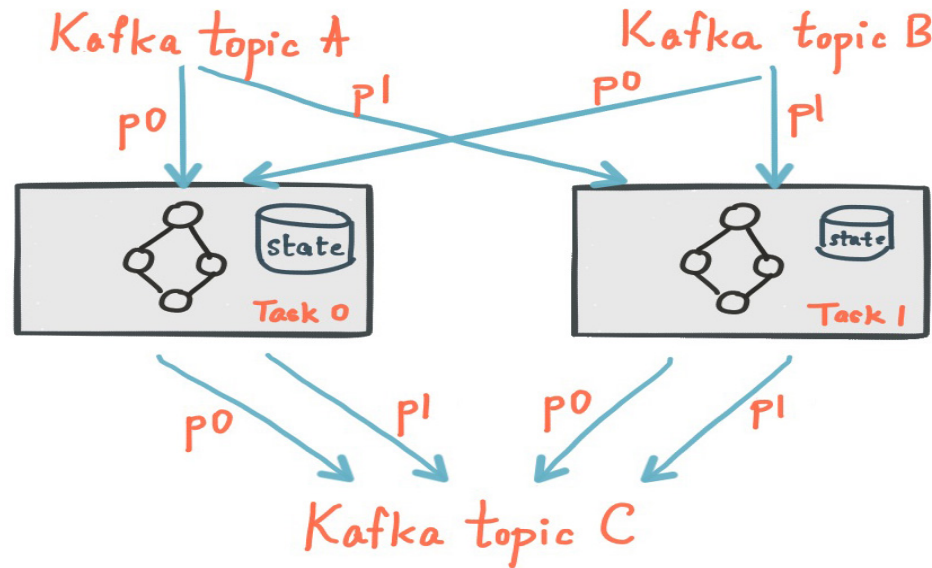
---

- A processor topology is a graph of stream processors (nodes) that are connected by streams (edges).
- **Stream**: unbounded, continuously updating data set. A stream is an ordered, replay-able, and fault-tolerant sequence of immutable key-value pairs (data records).
- A **stream processor** is a node in the processor topology:
  - **Source Processor** produces an input stream to its topology **from one or multiple Kafka topics** by consuming records from these topics and forwarding them to its down-stream processors. It has not upstream processors.
  - **Sink Processor** sends any received records from its up-stream processors **to a Kafka topic**. It has no down-stream processors.





# Kafka Streams: Topology



- An application's processor topology is scaled by breaking it into multiple tasks.
- Kafka Streams creates a fixed number of tasks based on the input stream partitions (i.e., Kafka topics).
- The **assignment** of partitions to tasks never changes so that each task is a fixed unit of parallelism of the application.

# Kafka Streams: State

---

Kafka Streams provides so-called state stores:

- **Data stores** can be used to store and query data
- A task may embed **one or more local state stores** that can be accessed via APIs to store and query data required for processing.
- These state stores can either be a persistent **key-value store**, an in-memory hashmap, or **another** convenient **data structure**
- Kafka Streams offers **fault-tolerance** and **automatic recovery** for such local state stores.
- For each state store, Kafka Streams maintains a replicated **change-log Kafka topic** where it tracks any state updates.

# Kafka Streams: Time

---

Common notions of time in streams are:

- **Event time:** The point in time when an event occurred;
- **Processing time:** The point in time when the event is processed by the stream processing application
- **Ingestion time:** The point in time when an event is stored in a topic partition by a Kafka broker.
  - Differently from event time, the ingestion timestamp is generated when the record is appended to the target topic by the Kafka broker, not when the record is created “at the source”.
- Kafka Streams assigns a timestamp to every data record via the `TimestampExtractor` interface:
  - It represents either the event or ingestion time, according to the **Kafka configuration**
  - This time will only advance when a new record arrives at the processor.

# Kafka Streams: KStreams and KTables

---

- **KStream**: an abstraction of a **record** stream, where each data record represents a self-contained datum in the unbounded data set. It contains data from a single partition.
- **KTable**: an abstraction of a **changelog** stream (i.e., evolving facts), where each value represents an update of the key value; if the key does not exist, it is created. It contains data from a single partition.
- **GlobalKTable**: like a KTable, but populated with data from all partitions of the topic.

Reference stream:

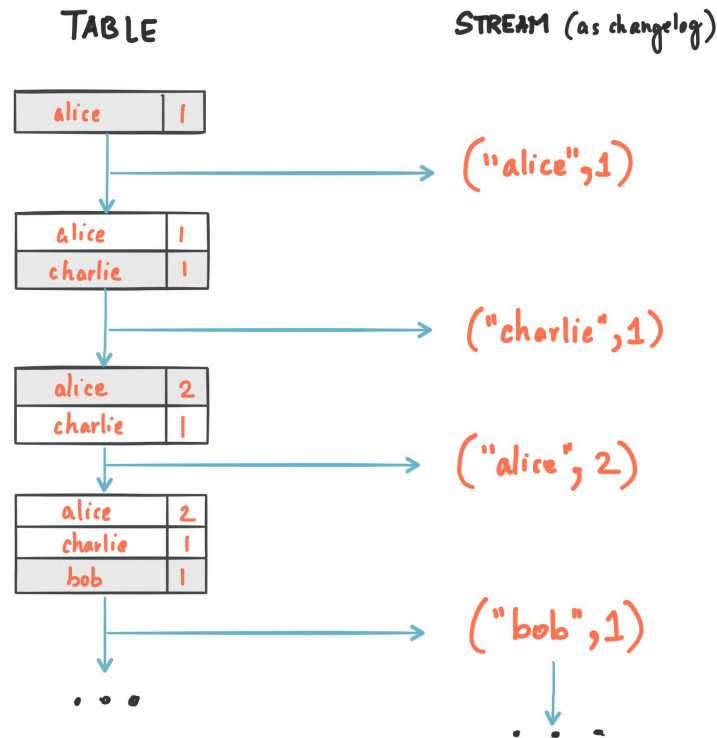
```
("alice", 1) --> ("alice", 3)
```

Sum the values per user:

- with KStream, it would return 4 for alice.
- with KTable, it would return 3 for alice, because the second data record would be considered an update of the previous record.

# Kafka Streams: KStreams and KTables

- The **stream-table duality** describes the close relationship between streams and tables.
- **Stream as Table:** A stream can be considered a changelog of a table, where each data record in the stream captures a state change of the table.
- **Table as Stream:** A table can be considered a snapshot, at a point in time, of the latest value for each key in a stream (a stream's data records are key-value pairs).



# Streams DSL (Domain Specific Language)

- A KStream represents a partitioned record stream.
- The local KStream instance of every application instance will be populated with data from only a subset of the partitions of the input topic.
- Collectively, across all application instances, all input topic partitions are read and processed.

```
KStream<String, Long> wordCounts = builder.stream(  
    "kafka-topic",           /* input topic */  
    Consumed.with(Serdes.String(), /* key serdes */  
                  Serdes.Long() /* value serdes */  
);
```

## SerDes:

- specifies how to **serialize/deserialize** the key and value data store in a Kafka topic

# Stateless Transformations

---

- **branch()**: Branch (or split) a KStream based on the supplied predicates into one or more KStream instances
- **filter()**: Evaluates a boolean function for each element and retains those for which the function returns true. **filterNot()** drops data for which the function returns true.
- **flatMap()**: Takes one record and produces zero, one, or more records. You can modify the record keys and values, including their types.
- **foreach()**: Terminal operation. Performs a stateless action on each record.
- **groupByKey()**: Groups the records by the existing key
- **groupBy()**: Groups the records by a new key, which may be of a different key type. When grouping a table, you may also specify a new value and value type
- **map()**: Takes one record and produces one record. You can modify the record key and value, including their types.

# Stateless Transformations

---

Table To Stream:

- `(KTable).toStream()`: Get the changelog stream of this table

Writing back to Kafka:

- `to()`: it sends data to a Kafka topic (the data key determines the topic partition). It requires to explicitly provide *serdes* when the key and/or value types of the KStream do not match the configured default SerDes. To specify the SerDes explicitly, we can use the Produced class.



# Stateful Transformations

---

Stateful transformations include: Aggregating, Joining, Windowing, and Custom transformation

## Aggregating data

After records are grouped by key via `groupByKey` or `groupByKey`, they can be aggregated via an operation such as `reduce`.

Aggregations are key-based operations, i.e., they always operate over records of the same key.

- `aggregate()`: Aggregates the values of records by the grouped key. Aggregating is a generalization of `reduce` and allows, e.g., the aggregate value to have a different type than the input values
- `count()`: counts the number of records by the grouped key
- `reduce()`: Combines the values of records by the grouped key

# Stateful Transformations

---

## Windowing

Windowing lets you control how to group records that have the same key for stateful operations such as aggregations or joins into so-called windows. Windows are tracked per record key.

- Tumbling window (window size = slide interval)  
`TimeWindows.of(windowSizeMs);`
- Sliding and hopping time window:  
`TimeWindows.of(windowSizeMs).advanceBy(advanceMs);`
- Session window, that is created after an inactivity gap:  
`SessionWindows.with(TimeUnit.MINUTES.toMillis(5));`