

Communication in Distributed Systems Part 1

Corso di Sistemi Distribuiti e Cloud Computing A.A. 2022/23

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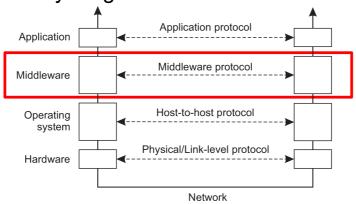
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Communication in distributed systems

- Based on message passing
 - Send and receive messages
- To allow for message passing, parties must agree on many low-level details
 - How many volts to signal a 0 bit and how many for a 1 bit?
 - How many bits for an integer?
 - How does the receiver know which is the last bit of the message?
 - How can the receiver find if a message has been corrupted and what to do then?

Basic networking model and its adaptation

- We know the solution: divide network communication in layers
 - The well known ISO/OSI reference model
 - We don't care about low-level details: for many distributed systems, the lowest-level interface is that of the network layer
- · An adapted layering scheme



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Middleware protocols

- Middleware layer: provides common services and general-purpose protocols
 - high-level
 - independent of specific applications
 - can be used by other applications
- Some examples:
 - Communication protocols: to call remote procedures or remote methods, queue messages, support multicasting and streaming
 - Naming protocols: to share resources among applications
 - Security protocols: to allow applications to communicate securely
 - Distributed consensus protocols, including distributed commit
 - Distributed locking protocols
 - Data consistency protocols

Types of communication

· Let's distinguish

- Persistency
 - Transient versus persistent communication
- Synchronization
 - · Synchronous vs. asynchronous communication
- Time dependence
 - · Discrete vs. streaming communication

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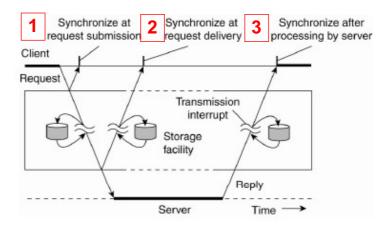
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Persistent vs. transient communication

- Persistent communication
 - Message is stored by communication middleware as long as it takes to deliver it to receiver
 - Sender does not need to continue execution after submitting the message
 - Receiver does not need to be executing when message is submitted
- Transient communication
 - Message is stored by middleware only as long as sender and receiver are executing: sender and receiver have to be active at time of communication
 - If delivery is not possible, message is discarded
 - Transport-layer example: routers store and forward, but discard if forward is not possible

Synchronous communication

- Once the message has been submitted, sender is blocked until operation is completed
- Send and receive are blocking operations
- How long is sender blocked? Alternatives:
 - 1. until middleware takes over request transmission
 - 2. until message is delivered to receiver
 - 3. until message is fully processed by receiver



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Asynchronous communication

- Once message has been submitted, sender continues its processing: message is temporarily stored by middleware until it is transmitted
- Send is a non-blocking operation, receive can be blocking or non-blocking

Discrete vs. streaming communication

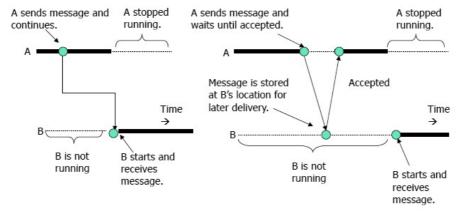
- Discrete communication
 - Each message forms a complete unit of information
- Streaming communication
 - Involves sending multiple messages, in temporal relationship or related to each other by sending order, which is needed to reconstruct complete information
 - E.g., audio, video

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Combining communication types

- Combination of persistence and synchronization
- a) Persistent and asynchronous communication
 - E.g., email, Teams chat
- b) Persistent and synchronous communication
 - Sender blocked until the (guaranteed) message copy is delivered to receiver

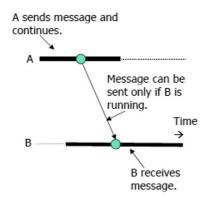


(a) Persistent asynchronous communication

(b) Persistent synchronous communication

Combining communication types

- Combination of persistence and synchronization
- c) Transient and asynchronous communication
 - Sender does not wait but message can be lost if receiver is unreachable (e.g., UDP)



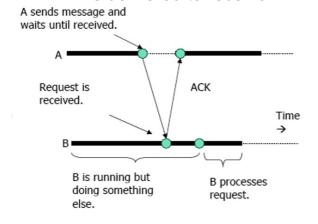
(c)Asynchronous communication

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Combining communication types

- Multiple options for transient and synchronous communication
 - d) Receipt-based synchronous: sender is blocked until message copy is in receiver space (e.g., asynchronous RPC)
 - e) Delivery-based synchronous: sender is blocked until message is delivered to receiver



A sends request and waits until accepted.

Request is received.

B is running but doing something else.

Accepted

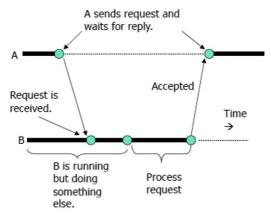
Time

(d) Receipt-based synchronous communication

(e) Delivery-based synchronous communication

Combining communication types

- Multiple options for transient and synchronous communication
 - Response-based synchronous: sender is blocked until it receives a reply message from receiver (e.g., synchronous RPC and RMI)



(f) Response-based synchronous communication

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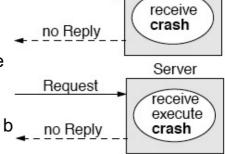
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Failure semantics during communication

- Different types of failure in communication between sender (client) and receiver (server)
- Request and/or reply message can be lost or delayed, or connection reset

 Network is reliable ("The Eight Fallacies of Distributed Computing")

- 2. Server can crash
 - a) before performing the requested service
 - b) after performing the requested service
 - Client cannot distinguish between a and b



Request

3. Client can crash

Server

Failure semantics during communication

- What is the semantics of communication in the presence of failures in a DS?
 - May-be semantics
 - At-least-once semantics
 - At-most-once semantics
 - Exactly-once semantics

guarantee, complexity

- Failure semantics applies both to service processing (e.g., RPC) and message delivery (e.g., MOM)
 - Let's focus on service processing

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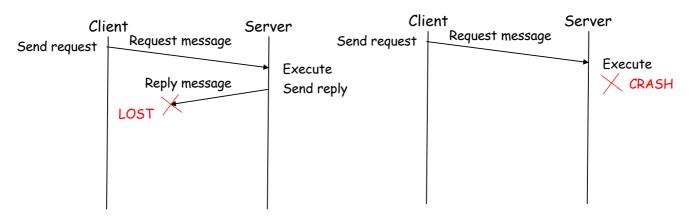
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Basic mechanisms for failure semantics

- Failure semantics depends on the combination of the following 3 basic mechanisms
- 1. Client side: Request Retry (RR1)
 - Client keeps trying until it gets a reply or is confident about server failure after a certain number of failed retries
- 2. Server side: Duplicate Filtering (DF)
 - Server discards any duplicate request from the same client
- 3. Server side: Result Retransmit (RR2)
 - Server keeps result so that it can retransmit result without recalculating it in case it receives a duplicate request
 - Needed if service performed by server is not idempotent
 Idempotent service (i.e., without side effects): multiple service
 executions produce the same effect/result as a single service
 execution, e.g., reading a value, setting a key and a value in a keyvalue store

May-be semantics

- No guarantee that the request has been processed or not on server
- No action is taken to ensure a reliable communication: no mechanism (RR1, DF, RR2) is used
- E.g., best-effort in UDP



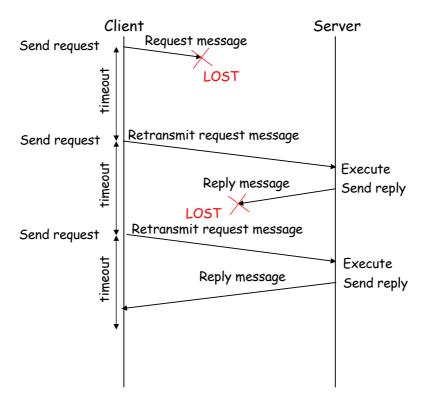
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At-least-once semantics

- Service, if executed, has been executed at least once
 - Could be several times, because of request duplication due to retransmissions
- Client uses RR1, server uses neither DF nor RR2
 - Server is not aware of duplicates
- Suitable for idempotent services
- Upon response receipt, client does not know how many times its request has been processed by server (at-least-once): client does not know about server status
 - Server may have executed the requested service but crashed before sending the response: when timeout expires, client resends the request, server processes it again and sends the response to client

At-least-once semantics



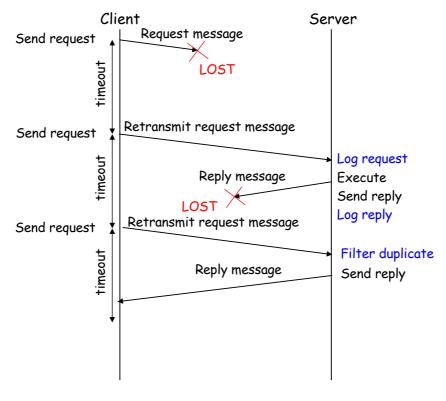
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At-most-once semantics

- Service, if performed, is carried out at most once
 - Client knows that, if it receives the reply, it has been processed by server only once
 - In case of failure, no information (at-most-once: reply has been calculated at most once, but possibly also none)
- All basic mechanisms (RR1, DF, RR2) are used
 - Client retransmits request when timeout expires
 - Server maintains some state to identify duplicate requests and avoid processing the same request more than once
- Suitable for any service, also not idempotent
- No constraints on consequent actions
 - No strict coordination between client and server: in case of error, client does not know if server run the service, while server ignores if client knows that the service run

At-most-once semantics



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At-most-once semantics: implementation

- Server detects duplicate requests and returns previous reply instead of re-running service handler()
- How to detect duplicate request?
 - Client includes a unique ID (xid) with each request and uses same xid when re-sending
- Some issues to address
 - How to ensure unique xid?
 - Server must eventually discard info about old requests: when is discard safe?
 - Can use sliding windows and sequence numbers
 - Can discard information older than maximum message lifetime

Server:
if seen[xid]
r = old[xid]
else
 r = handler()
 old[xid] = r
 seen[xid] = true

How to handle duplicate requests while original one is still executing?
 https://martinfowler.com/articles/patterns-of-distributed-systems/idempotent-receiver.html

Exactly-once semantics

- Strongest but most complex guarantee to implement in DS, especially large-scale
- Requires full agreement on interaction between client and server
 - Service is run only once or not run at all: all-or-nothing semantics
 - If everything goes well: service runs only once, duplicates are found
 - If something goes wrong: client or server knows if service has run (once - all) or not (never - nothing)
- Semantics with concordant knowledge of each other's state and without hypotheses on the maximum duration of the interaction protocol between client and server
 - No constraint on maximum duration: barely practical in a real system!

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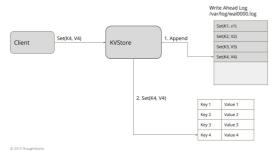
Exactly-once semantics: mechanisms

- Server-side basic mechanisms (RR1, DF, RR2) are not enough
- More mechanisms to tolerate server-side faults
 - Transparent server replication
 - Write-ahead logging (WAL)
 - Recovery
 - Mechanisms to recover from whatever state the failed server left behind and begin processing from a safe point
 - Distributed snapshot: captures a consistent global state of DS
 - State checkpointing: saves a snapshot of the DS state

WAL pattern

- aka Commit log
- Goal
 - Provide durability guarantee by persisting every state change as a command to append only log
- How
 - Each state change is stored as a log entry in a file on disk and the log is appended sequentially
 - File can be read on every restart and state can be recovered by replaying all the log entries

https://martinfowler.com/articles/patterns-of-distributed-systems/wal.html



```
public void put(String key, String value) {
    appendLog(key, value);
    kv.put(key, value);
}

private Long appendLog(String key, String value) {
    return wal.writeEntry(new SetValueCommand(key, value).serialize());
}
```

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Summing up failure semantics

- At-least once and at-most once semantics are feasible and widely used in DS
- We often choose the lesser of two evils, which is atleast-once semantics in most cases
 - At-least once semantics is also easier to scale

Distributed systems are all about trade-offs!

Distributed application programming

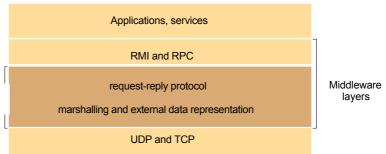
- You know explicit network programming
 - Operating system construct based on socket API and explicit management of message exchange
 - Used in most network applications (e.g. web browser, web server)
 - But distribution is not transparent and requires developer effort
- How to increase the abstraction level of distributed programming? By means of a communication middleware between OS and applications
 - Hide complexity of underlying layers
 - Free programmer from automatable tasks
 - Improve software quality by reusing known, correct and efficient solutions

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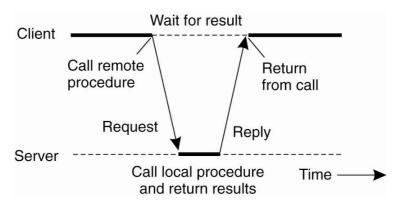
Distributed application programming

- Implicit network programming
 - Language-level construct
 - Remote Procedure Call (RPC)
 - Distributed app realized through procedure calls, but caller (client) and callee (server) are located on different machines and communication among them is hidden to programmer
 - Remote method invocation (Java RMI)
 - Distributed application in Java is realized by invoking methods of object running on a remote machine



Remote Procedure Call (RPC)

- Idea (by Birrel and Nelson, 1984): use client/server model to call procedures executed on other machines
 - Process on machine A calls procedure on machine B
 - Calling process on A is suspended
 - Called procedure is execute on B
 - Input and output parameters are transported into messages
 - No message passing is visible to programmer



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Why RPC

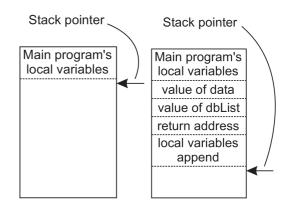
- Used in many distributed systems, including cloud computing ones
- Developed and employed in many languages and frameworks, among which:



- Remote Python Call (RPyC)
- Distributed Ruby (<u>DRb</u>)
- Ice
- Microsoft .NET
- JSON-RPC
- CORBA

Local procedure call

- Example of local procedure call: newlist = append(data, dbList)
- Caller pushes to stack input parameters (data, dbList) and returns address
- When callee returns, control is back to caller



In case of RPC, how to make it appear to the developer that the call is local?

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RPC: architecture

- Solution: create proxies (aka stubs)
- On the client side: the client stub has the service's interface
 - Client calls client stub that manages all the details: it packages parameters and calls the server
- On the server side: the server stub receives the

Portmapper consults registers Server Client execute procedure call procedure argument result result argument Client Stub Server Stub realize RPC support realize RPC support reply message request reply message request Network

request and calls the local procedure

- Goal: distribution transparency
 - Stubs are automatically generated
 - Developer focus is on application logic

RPC: Basic steps

- On client side, client calls a local procedure, called client stub
- 2. Client stub packs request message and call local OS
 - Parameter marshaling: arguments are converted from local to common format and packaged into a message
- client functions

 server functions

 server stub
 (skeleton)

 3

 sockets

 network

 server

 server functions

 server stub
 (skeleton)

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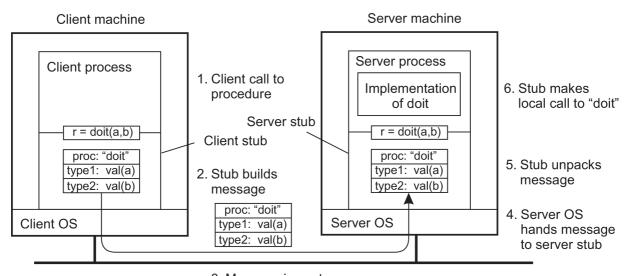
 network
- 3. Client OS sends request message to remote OS
- 4. Remote OS gives request message to server stub
- 5. On server side, server stub unpacks request message and calls server as it was a local procedure
 - Parameter unmarshaling: arguments are extracted from message and converted from common to local format
- 6. Server executes local call and returns result to server stub
- 7. Server stubs packs reply message (marshals return value(s)) and calls OS
- 8. Server OS sends reply message to client OS
- 9. Client OS gives message to client stub
- 10. Client stub unpacks reply message (unmarshals return value(s)) and returns result to client

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RPC basic steps: example

Call remote procedure doit(a,b)



Message is sent across the network

RPC middleware requirements

- Exchange messages to call procedure/invoke method, so to make it appear to the user that the call is local: we need to:
 - Identify request and reply messages, remote procedure/method
 - Pass parameters
- Manage data heterogeneity
 - Which data? Parameters, return value(s)
 - Marshaling vs. serialization:
 - Serialization: convert object into a sequence of bytes that can be sent over a network; serialization is used in marshaling
 - Marshaling: bundle parameters into a form that can be reconstructed (unmarshaled) by another process
- Handle failures due to distribution
 - During communication
 - User errors

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RPC issues

- Issues to address in order to transparently execute procedure call
- 1. How to manage heterogeneity in data representation?
 - Client and server also need to agree on transport protocol for message passing: TPC, UDP, both?
- 2. Client and server run on different hosts having their own address space: how to realize parameter passing by reference?
- 3. When failures occur, what does the client know about the execution of the server?
 - Local procedure call: exactly-once
 - Remote procedure call: at-least-once or at-most-once (in most cases)
- 4. How to bind to server, i.e., how do we locate the server endpoint?

Data heterogeneity

- Client and server may use different data representations
 - E.g., different character sets, byte ordering (little endian vs big endian), size of integers, floating point representation
- General alternatives (not only RPC) to handle heterogeneity in data representation:
 - 1. Specify encoding within the message itself
 - 2. Let message sender convert data into receiver encoding
 - Convert data into standard encoding agreed between the parties
 - Sender: converts from local to standard
 - Receiver: converts from standard to local
 - 4. Let an intermediary convert between different encodings

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Data heterogeneity

- Let's compare alternatives 2 and 3, assuming N distributed components that communicate among them
- #2: each component knows all conversion functions
 - √ Conversion is faster.
 - X Higher number of conversion functions: $N^*(N-1)$
- #3: all components agree on standard encoding for data representation and each component knows how to convert from local to common format and vice versa
 - X Conversion is slower
 - √ Lower number of conversion functions: 2*(N-1)

Alternative 3 is the standard choice in RPC systems

Data heterogeneity: patterns

- Let's consider alternatives 3 and 4: how to realize?
- Proxy
 - Aim: support access (and location) transparency
 - Control access to an object using another proxy object
 - Proxy is created in local address space to represent remote object and exposes same interface of remote object

Broker

- Aim: separate and encapsulate communication details from its functionality
- Enables components to interact without handling remote concerns by themselves
- Locates server for client, hides communication details, etc.

Proxy (stub) is the standard choice in RPC systems
Who automatically generates stubs?

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Parameter passing techniques

Call by value

- Parameter value is copied in a local isolated storage (usually stack)
- The callee acts on copied data and changes will not affect the caller

Call by reference

- Reference (pointer) to parameter is copied into the stack
- The callee acts directly on caller data

Call by copy-restore

- A somehow special case of call by reference: data is copied into the caller stack; when the procedure returns, the updated contents are copied back (restored)
- Available in few programming languages (e.g., Ada, Fortran)

RPC parameter passing

- A reference is a memory address
 - Valid only in its context (local machine)
 - We need a pointer-less representation
- Solution: simulate call by reference by using call by copy-restore
 - Client stub copies the pointed data in the request message and sends the message to server stub
 - Server stub acts on copy, using the address space of the receiver host
 - If the copy is modified, it will be then restored by client stub overwriting the original data
 - Size of data to be copied should be known
 - What happens if data contains a pointer?

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Semantics of remote call/method

- Exactly once semantics is costly: most RPC systems implement weaker semantics
- At-least-once semantics: if the client gest a reply from the server, it means that remote call/method has been executed at least once by the server
- At-most-once semantics: if the client gets a reply from the server, it means that the remote call/method has been executed at most once by the server

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Server binding

- Binding: how to locate the server endpoint, including the proper process (port or transport address) on it
 - In principle: can be static or dynamic
- Static binding
 - At design time: server address and other info are wired into code
 - Easy and no overhead, but lacks transparency and flexibility
- Dynamic binding
 - At run-time
 - Increased overhead, but gains transparency and flexibility
 - · E.g., we can redirect requests in case of server replication
 - Try to limit overhead

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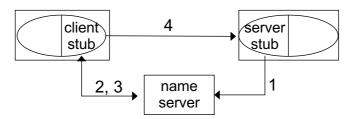
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Server binding: dynamic

- Two phases in client/server relationship
- Naming: static phase before execution
 - Client specifies to whom it wants to be connected, using a unique name that identifies the service
 - Unique names are associated with operations or abstract interfaces and binding is made to the specific service interface
- Addressing: dynamic phase during execution
 - Server effectively binds to client when client invokes service
 - Depending on middleware implementation, multiple replica servers can be looked for
 - Addressing can be explicit or implicit
 - Explicit addressing: client sends request using broadcast or multicast, waiting only for first reply

Server binding: dynamic

- Implicit addressing: there is a name server (aka binder, directory service, registry service) that registers services and manages a binding table
 - Service lookup, registration, update, and deletion



- Dynamic binding frequency
 - Each procedure call requires addressing
 - To reduce cost, binding result can be cached and re-used

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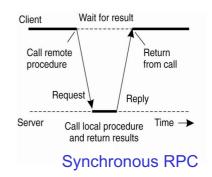
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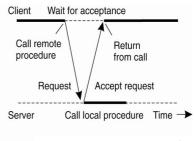
RPC and OSI model

- Where is RPC in the OSI model?
 - Layer 5 (session): Connection management
 - Layer 6 (presentation): Marshaling/data representation
 - Uses the transport layer (layer 4) for communication (TCP/UDP)

More issues: Synchronous vs. asynchronous RPC

- Synchronous RPC: strict request-reply behavior
 - RPC call blocks client that waits for server reply
- Some RPC middleware supports asynchronous RPC
 - Client continues without waiting for server reply
 - Server can reply as soon as request is received and execute procedure later





Asynchronous RPC

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More issues: transparency

- Is RPC truly transparent? Can we really just treat remote procedure calls as local procedure calls?
 - Performance, failures, concurrent requests, replication, migration, ...
- Performance
 - RPC is slower ... a lot slower: why?
 - Local call: maybe 10 cycles = ~3 ns
 - RPC: 0.1-1 ms on a LAN => ~100K slower
 - Major source of overhead: context switching, copies, interprocess communication
 - In WAN: can easily be millions of times slower

More issues: transparency

Failures

- Different failures can occur
 - · Client cannot locate server
 - · Lost request messages
 - Server crashes
 - · Lost reply messages
 - · Client crashes

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More issues: security

- Authenticate client? Authenticate server?
 - Is the client sending messages to the correct server or is the server an impostor?
 - Is the server accepting messages only from legitimate clients? Can the server identify user at the client side?
- Messages may be visible over network
 - Messages may be sniffed (and modified) while they traverse the network: do we need to hide them?
 - Has the message been accidentally corrupted or truncated while on the network?
- RPC protocol may be subject to replay attacks
 - Can a malicious host capture a message and retransmit it at a later time?

Programming with RPC

- Language support
 - Many programming languages have no language-level concept of remote procedure calls (e.g., C, C++)
 - These compilers will not automatically generate client and server stubs
 - Some languages directly support RPC (Java, Python, Haskell, Go, Erlang)
 - But we may need to deal with heterogeneous environments (e.g., Java service communicating with a Python one)
- Common solution
 - Interface Definition Language (IDL): describes remote procedures
 - Separate compiler that generates stubs (pre-compiler)

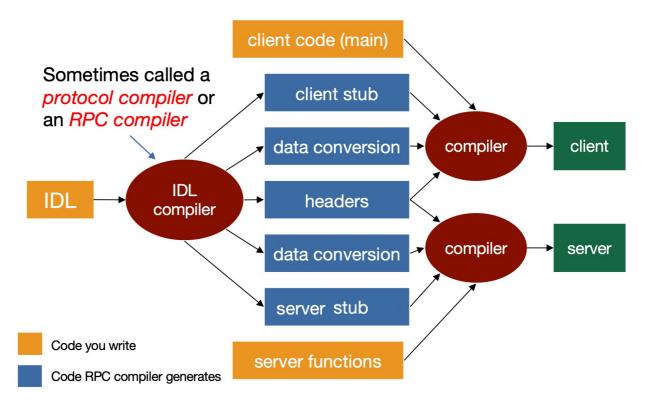
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Interface Definition Language (IDL)

- Allow programmer to specify remote procedure interfaces (names, parameters, return values)
- IDL compiler can use this to generate client and server stubs
 - Marshaling code
 - Unmarshaling code
 - Network transport routines
- · Conform to defined interface
 - An IDL looks similar to function prototypes

IDL and RPC compiler



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RPC case studies

- Sun RPC
- Java RMI
- Go
- gRPC

Implementing RPC: Sun RPC

- Example of first generation RPC
- Implementation and RPC middleware provided by Sun Microsystems: Open Network Computing (ONC) RPC, aka Sun RPC
 - Basic and widely used implementation
 - RFC 1831 (1995), RFC 5531 (2009)
- ONC is a suite of products including:
 - eXternal Data Representation (XDR) as IDL
 - Remote Procedure Call GENerator (RPCGEN): IDL compiler to automatically generate client stub and server stub
 - Port mapper: service to bind client to server
 - Network File System (NFS): distributed file system

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How to define RPC program

- Two descriptive parts written in XDR and grouped in a file with extension .x
 - In our example: square.x
- 1. Definition: specifics of procedures (services) that is, identify procedures and their parameters' data types
- 2. XDR definitions: definitions of parameters' data types (if not defined in XDR)
- Example: remote procedure to calculate square of integer number

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Square example: define remote procedure

Let's define remote procedure SQUAREPROC

- Each procedure has only one input parameter and one output parameter
- Identifiers are written in uppercase
- Each procedure is associated with a procedure number which is unique within RPC program (e.g., 1)

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How to implement RPC program

- · Programmer has to develop:
 - Client program: implements main() and logic needed to find remote procedure and bind to it (example: square_client.c)
 - Server program: implements the remote procedures provided by RPC server (example: square server.c)
- Note: programmer does not write server-side main()
 - Who calls remote procedure on server side?

Square example: local procedure

Let's first consider a standard solution for local procedure

```
#include <stdio.h>
#include <stdlib.h>

struct square_in { /* input (argument) */
    long arg;
};
struct square_out { /* output (result) */
    long res;
};
typedef struct square_in square_in;
typedef struct square_out square_out;

square_out *squareproc(square_in *inp) {
    static square_out out;

    out.res = inp->arg * inp->arg;
    return(&out);
}

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```

Square example: local procedure

• Local procedure (continue)

```
int main(int argc, char **argv) {
    square_in in;
    square_out *outp;

if (argc != 2) {
        printf("usage: %s <integer-value>\n", argv[0]);
        exit(1);
    }
    in.arg = atol(argv[1]);

outp = squareproc(&in);
    printf("result: %ld\n", outp->res);
    exit(0);
}
```

Which changes in case of remote procedure?

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Square example: remote procedure

Code of remote procedure is almost equal to local procedure

- Notes:
 - Input and output parameters use pointers
 - Output parameter must be a pointer to a static variable (i.e., global memory allocation) so that pointed area exists when procedure returns
- Name of RPC procedure changes slightly (we add _ suffixed by version number and _svc, e.g., _1_svc), all in lowercase
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Square example: client

 Launch client with remote hostname and integer value; it calls the remote procedure

```
#include <stdio.h>
                                              Code: client.c
#include <rpc/rpc.h>
#include "square.h"
                      /* generated by rpcgen */
int main(int argc, char **argv) {
  CLIENT *clnt;
  char *host;
  square_in in;
  square out *result;
   if (argc != 3) {
    printf("usage: client <hostname> <integer-value>\n");
    exit(1);
                     CLIENT *clnt create(char *host, unsigned long prog,
                     unsigned number vers, char *proto)
  }
  host = argv[1];
  clnt = clnt_create(host, SQUARE_PROG, SQUARE_VERS, "tcp");
```

Square example: client

```
if (clnt == NULL) {
    clnt_pcreateerror(host);
    exit(1);
}
in.arg1 = atol(argv[2]);
if ((result = squareproc_1(&in, clnt)) == NULL) {
    printf("%s", clnt_sperror(clnt, argv[1]));
    exit(1);
}
printf("result: %ld\n", result->res1);
exit(0);
}
```

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Square example: client

- clnt_create(): creates client transport manager to handle communication with remote server
 - TPC or UDP, default timeout for request retransmission
- Client must know:
 - Remote server hostname
 - Info to call remote procedure: program name, version number and remote procedure name
- To call remote procedure:
 - Procedure name changes slightly: we add _ followed by version number (name in lowercase)
 - Two input parameters:
 - The first is the effective input parameter
 - The latter is the client transport manager
 - Client gets pointer to result
 - Allows it to identify failed RPC (null return)
- Handling of errors that occur during remote call
 - clnt_pcreateerror() and clnt_perror()

Basic steps to program with SUN RPC

- 1. Define the RPC interface: procedures and data types (if needed) → writes square.x
- 2. Compile square.x with rpcgen, which generates client and server stubs and XDR routines to convert data to XDR format
 - square_cint.c, square_svc.c, square_xdr.c, square.h
- 3. Write client program and server functions (client.c and server.c), compile all source files (client and server, client and server stubs, and conversion routines) and link object files
- 4. When you start server, it publishes services
 - Remote procedures are registered with name server (port mapper or rpcbind)
- 5. When you start client, it finds the service endpoint through port mapper Valeria Cardellini - SDCC 2022/23

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Sun RPC features

- A program typically contains multiple remote procedures
 - Multiple versions for each procedure
 - Single input and output parameter (call by copy-restore)
- Mutual exclusion guaranteed by server: by default, no concurrency on server side
 - Sequential server: only one call can be executed at one time
 - Multi-threaded server (no Linux): rpcgen with -M and -A options
- Client is synchronously blocked waiting for server reply
- At-least-once semantics
 - Request retransmission after a time-out interval expires
 - UDP as default transport protocol

eXternal Data Representation (XDR)

- Sun RPC uses a standard transfer format called XDR to handle data heterogeneity
 - Standard for the description and encoding of machineindependent data (RFC 4506)
- Built-in XDR conversion functions for:
 - Predefined primitive types
 - E.g., xdr_bool(), xdr_char(), xdr_int()
 - Predefined structured types
 - E.g., xdr_string(), xdr_array()
- XDR is a binary format using implicit typing
 - Implicit typing: only values are transmitted, not data types or parameter info

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Definition of file.x

- First part of file.x
 - XDR definition of constants
 - XDR definition of data types of input and output parameters for all data types for which there is no corresponding built-in XDR function
- Second part of file.x
 - XDR definition of procedures
 - Example in square.x: SQUAREPROC is the procedure name, procedure number 1, version 1 of program number 0x31230000
 - Procedure number 0 is reserved for NULLPROC
 - Each procedure has a single input and output parameter
 - Identifiers for program, version and procedure are in uppercase

Sun RPC: server binding

- Procedure must be registered before being called
 - Unique triple given by: program number (*prognum*), version number (*versnum*), procedure number
 - Transport protocol must also be specified
 - Client must know the port number on which the server responds
- RPC server registers RPC program in port map
 - Dynamic table of RPC services on that host machine
 - Each table line contains the triple {prognum, versnum, protocol} and port
 - Procedure number is not specified: all the procedures within a program share the same transport manager
- Port map table is managed by port mapper, one per host

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Port mapper (rpcbind)

- Port mapper (rpcbind) listens on port 111
- At startup, server stub registers the RPC services it offers on port mapper

prognum, versnum, protocol and port

- Client stub contacts port mapper to find out the corresponding port before invoking the remote procedure
- Port mapper registers the services and supports:
 - Insert service
 - Delete service
 - Lookup for service port
 - List registered services

Port mapper (rpcbind)

To list all the RPC programs on a given host:
 rpcinfo -p hostname

<pre>>\$ rpcinfo -p</pre>				
program	vers	proto	port	
100000	4	tcp	111	rpcbind
100000	4	udp	111	rpcbind
824377344	1	udp	59528	
824377344	1	tcp	49311	

824377344 (= 0x31230000) is the program number in square.x

Available RPC programs are listed in /etc/rpc

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SUN RPC: Development process

Given a service specification

Written in XDR: square.x

rpcgen generates

· Header: square.h

· Client stub: square clnt.c

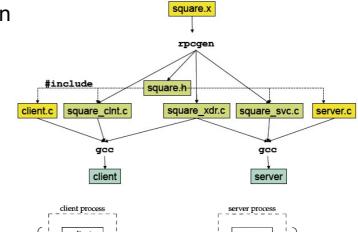
Server stub: square svc.c

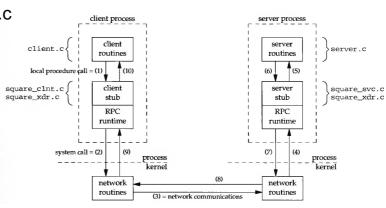
XDR routines: square_xdr.c

Developer writes

· Client program: client.c

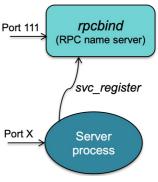
Server program: server.c





What goes on in the system: server side

- In main() server stub creates a socket and binds any available local port to it
- Calls svc_register, RPC library function
 - To register procedures with port mapper
 - Associates the specified program and version number pair with the specified dispatch routine
- Then waits for requests by calling svc_run, again in RPC library
 - svc_run invokes service procedures in response to RPC call messages

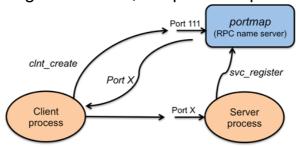


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What goes on in the system: client side

- When we start the client program, clnt_create contacts port mapper on server side to find the port for that interface
 - Early binding: done once, not per each procedure call



- · Client stub manages communication
 - Request timeout
 - Marshaling from local representation to XDR format and unmarshaling from XDR format to local representation

SUN RPC: examples

Examples of RPC programs

http://www.ce.uniroma2.it/courses/sdcc2223/prog rpc

- square: compute square
 - Let's analyze client stub and server stub code
- 2. echo: repeat sequence of characters
- 3. avg: compute average value of real numbers
- 4. rls: print listing of remote directory
 - XDR routines in dir_xdr.c are automatically generated from data types defined in dir.x and allow to convert from local format to XDR and vice versa

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Second generation of RPC

- In the 1990s second generation of RPC
- Support for object oriented languages: distributed objects
 - Microsoft DCOM
 - CORBA
 - Java RMI

Java RMI: motivations

- Goal: extend RPC concepts to distributed objects
 - Java RMI (Remote Method Invocation): RPC in Java
 - Allows developers to create distributed applications where methods of an object can be invoked from other JVMs
 - Conceptually similar to RPC but supports method invocation on objects in different address spaces
- Java RMI: set of tools, policies and mechanisms that allow a Java application to invoke methods of an object instantiated on a remote host
 - Goal: access transparency (local and remote invocations are as much as possible the same)
 - But distribution transparency is not yet complete

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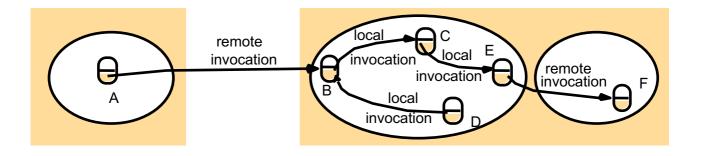
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Java RMI references

- "Trail: RMI", The Java Tutorials http://docs.oracle.com/javase/tutorial/rmi/
 - Note: JDK 8 (old release)
- Java Remote Method Invocation Specification
 https://docs.oracle.com/en/java/javase/19/docs/specs/rmi/

Java RMI basics

- Reference to remote object is created locally, but object is running on remote host
- Client invokes remote method through local reference
- Which differences with respect to local method invocation?
 - Performance, communication, failures, ...



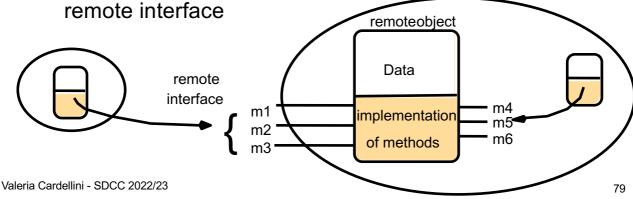
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Java RMI basics

- Recall Java separation between definition (interface) and implementation (class)
- Idea: logical separation between interface and object allows for their physical separation
- Remote interface: specifies the set of methods that may be invoked remotely
 - But internal state of remote object is not distributed!

Remote object: instance of a class that implements a



Java RMI basics

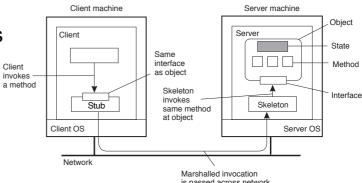
- Remote method invocation: action of invoking a method of a remote interface on a remote object
 - Goal: same syntax as a method invocation on local object
 - How to achieve it?
- When client binds to remote server, a copy of server interface (stub) is loaded into client's address space
 - Role similar to RPC client stub
- Requests arriving at remote object are handled by a client agent, which is local to server (skeleton)
 - Role similar to RPC server stub
- Single working environment as a consequence of Java, but heterogeneity of underlying systems
 - Thanks to Java code portability

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Stub and skeleton

- Like RPC, RMI exploits
 proxy pattern: two proxies
 (client-side stub and
 server-side skeleton)
 hide the application
 distributed nature
 - Stub: client-side proxy for remote object; communicates method invocation on remote object to server
 - Skeleton: server-side proxy that calls the actual remote object implementation



- Automatic stub generation
 - Automatically built from Java files (differently from Sun RPC rpcgen)

Serialization/deserialization

- (De)serialization directly supported by Java
 - Thanks to bytecode, no need to (un)marshal as in RPC, but data is (de)serialized using language-level features
- Serialization: converts object that is passed as parameter into a byte stream
 - writeObject on output stream
- Deserialization: decodes a byte stream and builds a copy of original object
 - readObject from input stream
- Stub and skeleton use serialization/deserialization to exchange input and output parameters

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Serialization/deserialization

Example of serializable Record object

```
Record record = new Record();
FileOutputStream fos = new FileOutputStream("data.ser");
ObjectOutputStream oos = new ObjectOutputStream(fos);
oos.writeObject(record);
FileInputStream fis = new FileInputStream("data.ser");
ObjectInputStream ois = new ObjectInputStream(fis);
record = (Record)ois.readObject();
deserialization
```

- Only instances of serializable objects can be used, that is:
 - implement Serializable interface
 - contain only serializable objects (or object references)
- Upon deserialization, object copy will be recreated using its class file and received information
 - Class file must be available locally

Marshaling versus serialization

- · Loosely synonymous but semantically different
- Marshaling: activity by which a stub converts local application data into network data (e.g., using XDR) and packages network data into packets for transmission
- Serialization: activity by which the state of an object is converted into a byte stream so that byte stream can be converted back into a copy of the object
- Difference becomes noticeable for objects
 - Objects have a codebase that also needs to be marshaled
 - Java serialization relies on codebase being present at receiver
- In Python (pickle module) marshaling and serialization are considered the same, but not in Java

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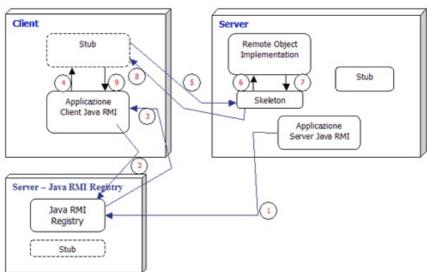
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Interaction between stub and skeleton

- Steps for communication
 - 1. Client obtains stub instance (how?)
 - 2. Client invokes methods on stub
 - · Remote invocation syntax is identical to local one
 - Stub serializes the information needed for the invocation (method's ID and input parameters) and sends them to skeleton in a message
 - 4. Skeleton receives the message and deserializes received data, invokes the call on the object that implements the server (dispatching), serializes the return value and sends it to stub in a message
 - 5. Stub receives the message, deserializes the return value and returns the result to client

RMI registry

- RMI Registry: binder for Java RMI (port 1099)
- Allows server to publish service and client to obtain the stub to access it



- RMI URL: starts with rmi: and contains hostname (optional), port number (optional) and name of remote object
- Some limit: no location transparency, no security management

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Server-side steps

- Coding server-side remote components
 - Behavior definition: interface that
 - is public so that it can be used by any class in any package
 - must extend the interface java.rmi.Remote so that its methods can be invoked by other JVMs
 - a remote method declaration must include the exception java.rmi.RemoteException to handle a remote method invocation failure
 - 2. Behavior implementation: class that
 - · Implements remote interface
 - Extends java.rmi.server.UnicastRemoteObject
 - · unicast: remote object is non-replicated
 - In general each class should at least:
 - Declare the remote interface being implemented
 - Define the constructor for the remote object
 - Provide an implementation for each remote method in the remote interface

Server-side and client-side steps

- Coding server-side remote components
 - 3. Server code:
 - Create remote object
 - Register remote object with RMI registry, using bind or rebind (in java.rmi.Naming); rebind replaces any existing association
 - For security reasons, an application can only bind, unbind, or rebind remote object references with a registry running on the same host
- Coding client-side local components
 - Obtains reference to remote object by invoking lookup on RMI registry
 - 2. Assigns it to a variable that has the remote interface as its type

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Main steps to use Java RMI

- · After coding:
 - 1. Compile classes
 - Start RMI registry (rmiregistry command), which is launched as separate process with standard structure and behavior

rmiregistry [registryPort]

- Alternatively, you can create your own local registry using createRegistry in java.rmi.registry
- RMI registry is local to server for security reasons
- 3. Start server
- 4. Start client
- We now build a remote server that echoes any text sent to it by a client

Echo example: remote interface

- The remote interface extends Remote
- Each remote method
 - Must declare that it throws a RemoteException
 - To handle communication failure or protocol error
 - Remote method invocation is not completely transparent

```
import java.rmi.Remote;
import java.rmi.RemoteException;

public interface EchoInterface
    extends Remote{
    String getEcho(String echo)
        throws RemoteException;
}
```

- Returns only 1 result and has 0, 1 or more input parameters
- Parameter passing for remote method:
 - by value in case of primitive data types (boolean, char, int, ...) or objects that implement java.io.Serializable interface: serialization/deserialization managed by stub/skeleton
 - by reference in case of Remote objects

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Echo example: server

- The class implements the remote interface
 - Extends the classUnicastRemoteObject
 - super() method calls the class constructor
 UnicastRemoteObject
 which executes all the needed initialization to allow the server to wait for service requests and serve them
 - Implements the method declared in the interface
 - The remote method throws a remote exception

```
public class EchoRMIServer
  extends UnicastRemoteObject
  implements EchoInterface{
  //Costruttore
  public EchoRMIServer()
   throws RemoteException
  { super(); }
  // Implement the remote method declared
  // in the interface
  public String getEcho(String echo)
   throws RemoteException
   { return echo; }
  public static void main(String[] args) {
   // Service registration
  { EchoRMIServer serverRMI =
         new EchoRMIServer();
Naming.rebind("EchoService", serverRMI); }
   catch (Exception e)
  {e.printStackTrace(); System.exit(1); }
```

Echo example: server

- In main the server object instance is created; then, it can accept remote requests
- Server registers the remote object by name to RMI registry
 - bind/rebind in class Naming (rebind replaces an already existing binding)
 - Makes as many bind/rebind as the server objects to register, each one identified with its logical name
 - RMI registry accepts bind/rebind requests only by an RMI server running on the same host

```
public class EchoRMIServer
  extends UnicastRemoteObject
  implements EchoInterface{
  //Costruttore
  public EchoRMIServer()
   throws RemoteException
  { super(); }
  // Implement the remote method declared
  // in the interface
  public String getEcho(String echo)
    throws RemoteException
   { return echo; }
  public static void main(String[] args) {
    // Service registration
  try
  { EchoRMIServer serverRMI =
         new EchoRMIServer();
Naming.rebind("EchoService", serverRMI); }
   catch (Exception e)
  {e.printStackTrace(); System.exit(1); }
 }
}
```

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Echo example: client

- Service is used through an interface variable, obtained by sending a **lookup** request to RMI registry
- Lookup of a remote reference
 - Namely a stub instance of the remote object (using a lookup and assigning it to an interface variable)
- Remote method invocation
 - Synchronous blocking call

Code on course site

```
public class EchoRMIClient
  // Start RMI client
  public static void main(String[] args)
   bufferedReader stdIn =
     new BufferedReader (
       new InputStreamReader(System.in));
    //Connect to remote RMI service
    EchoInterface serverRMI = (EchoInterface)
        Naming.lookup("EchoService");
    // Interact with user
    String message, echo;
    System.out.print("Message? ");
    message = stdIn.readLine();
    // Invoke remote service
    echo = serverRMI.getEcho(message);
    System.out.println("Echo: "+echo+"\n");
  catch (Exception e)
  {e.printStackTrace(); System.exit(1); }
 }
}
                                            93
```

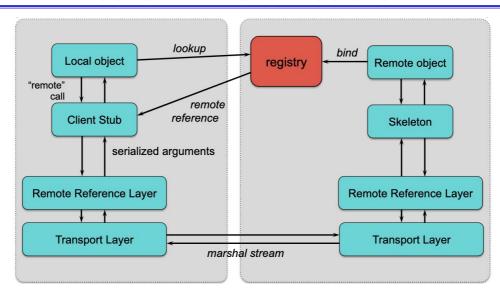
Java RMI: parameter passing

- Local method invocation:
 - By value: primitive types
 - By reference: all Java objects
- Remote method invocation:
 - By value: primitive data types and serializable objects
 - Serializable object: object whose location is not relevant to state is passed by value; object is serialized, sent to receiver and deserialized to build a local copy
 - By remote reference: remote objects via RMI
 - Object whose utility is bounded to location in which it runs (server) is passed by remote reference: its stub gets serialized and dispatched to the other peer
 - Each stub instance identifies a single remote object to which it refers through an identifier which is unique in the context of the JVM in which the target object exists

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Java RMI: architecture



- Remote Reference Layer: manages remote references, parameters and stream-oriented connection abstraction
- Transport Layer
 - Manages connections between different JVMs
 - Can use different transport protocols, as long as they are connectionoriented (typically TCP)
 - Uses a proprietary protocol

Java RMI: concurrency support

 Methods of a remote object can be invoked concurrently by multiple clients

From Java RMI specification: "Since remote method invocation on the same remote object may execute concurrently, a remote object implementation needs to make sure its implementation is thread-safe"

https://docs.oracle.com/en/java/javase/15/docs/specs/rmi/arch.html

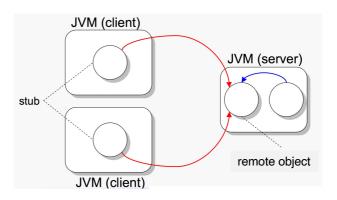
- To protect a remote method from "dangerous" concurrent accesses while guaranteeing thread safety, it must be defined as synchronized
- Example: remote method to increase a counter

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Java RMI: distributed garbage collection

- How to delete remote objects that are no longer referenced by clients?
 - Remote object mush know how many stubs use it, but network failures, client crashes, ...
- To address distributed garbage collection, RMI requires a high degree of coordination
 - X No large-scale apps



Java RMI: distributed garbage collection

- Within a JVM, Java uses reference counting and schedules objects for garbage collection when reference count goes to 0
- Across JVMs, with RMI Java supports two operations (dirty and clean) to realize lease-based garbage collection
 - Client JVM periodically sends dirty message to server JVM when remote object is in use
 - Dirty is refreshed based on lease time given by server
 - Client JVM sends clean message when there are no more local references to object
 - If server JVM does not receive either dirty or clean before lease time expires, object can be scheduled for deletion if it is not referenced by anyone
 - If client does not renew lease before it expires, server assumes that remote object is no longer referenced by that client

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Comparing SUN RPC and Java RMI

- SUN RPC: process oriented, incomplete access transparency, no location transparency
- Entities that can be requested: operations or functions
- Communication: synchronous and asynchronous
- Communication semantics (default): at-least-once
- Maximum duration and exceptions: timeout for retransmission and error handling
- Server binding: port mapper
- Data presentation: specific IDL (XDR) and automatic generation of client and server stubs
- Parameter passing: by copy-restore
- Several extensions, including broadcast (responses from multiple servers rather than just one) and security

Comparing SUN RPC and Java RMI

- Java RMI: object oriented, access transparency, no location transparency, distribution is not totally transparent (semantics of parameter passing, remote interface definition and remote exceptions)
- Entities that can be requested: methods of objects via interfaces
- Communication: synchronous
- Communication semantics: at-most-once
- · Maximum duration and exceptions: error handling
- · Server binding: RMI registry
- Data presentation: Java as IDL and automatic generation of stubs and skeletons
- Parameter passing: by value (primitive types and serializable objects), by reference in case of objects with remote interfaces (remote objects)

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RPC in Go

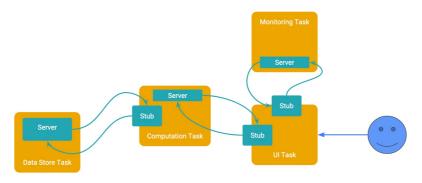
- · Let's analyze:
 - Main features of Go programming language
 - How Go supports RPC

http://www.ce.uniroma2.it/courses/sdcc2223/slides/Go.pdf

- Let's compare RPC implementations
 - How do SUN RPC, Java RMI and Go differ in terms of distribution transparency?

Motivation for new RPC middleware

- Large-scale distributed applications composed of microservices
 - Microservices architecture: building a software application as a collection of *independent*, *autonomous* (developed, deployed, and scaled independently), *business capability oriented*, and *loosely coupled* services
 - Multi-language (i.e., polyglot) development
 - Use communication predominantly structured as RPCs



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gRPC



- High-performance, open source universal RPC framework https://grpc.io/
- · Can run in any environment
 - Multi-language, multi-platform framework
- Main usage scenarios
 - Connect polyglot microservices that use request-response style communication
 - Connect mobile devices to backend services
 - Generate efficient client libraries
- Used by many companies and in many distributed systems
 - E.g., Google, Netflix, Square, etcd, CockroachDB
- Reference
 - Indrasiri and Kuruppu, "gRPC Up and Running", O'Reilly, 2020

gRPC: Main features

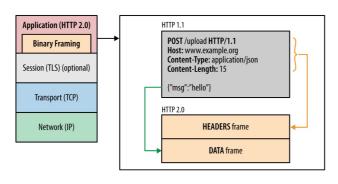
- HTTP/2 for transport
- Protocol buffers as IDL
- Plus authentication, bidirectional streaming and flow control, blocking or non-blocking bindings, timeouts and cancellation

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gRPC: HTTP/2

- Transport over HTTP/2
 - Basic idea of gRPC: treat RPCs as references to HTTP objects
- HTTP/2: major revision of HTTP that provides significant performance benefits over HTTP 1.x
- HTTP/2 in a nutshell
 - Binary framing layer: HTTP/2 request/response is divided into small messages and framed in binary format, making message transmission efficient



gRPC: HTTP/2

- HTTP/2 in a nutshell
 - From request/response messages to streams
 - Stream: bidirectional flow of bytes within an established connection, which may carry one or more messages
 - Message: complete sequence of frames that map to a logical request or response message
 - Frame: smallest unit of communication in HTTP/2, each containing a frame header, which at a minimum identifies the stream to which the frame belongs
 - Request/response multiplexing (usage of a single connection per client): allows for efficient use of TCP connections and avoids head-of-line blocking at HTTP level
 - Native support for bidirectional streaming
 - HTTP header compression: to reduce protocol overhead

https://web.dev/performance-http2/

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gRPC: Protocol buffers

- gRPC uses protocol buffers as:
 - Its IDL to define service interface: automatic generation of client stubs and abstract server classes

ProductInfo Service Definition

- Its message interchange format: gRPC messages are serialized using protocol buffers, thus resulting in small message payloads
- Based on usual proxy pattern (stub and server)

ProductInfo.proto **Product Info** Consumer Generate client stub Generate Server Skeleton Protocol Buffer gRPC Stub gRPC Server over HTTP/2 Go

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Java

Protocol buffers

- Google's mature open-source mechanism to serialize structured data
- · Binary data representation
- Strongly typed

```
message Person {
   string name = 1;
   int32 id = 2;
   bool has_ponycopter = 3;
}
```

- Data types are structured as messages
 - Message: small logical record of information containing a series of name-value pairs called *fields*
 - Fields have unique field numbers (e.g., string name = 1),
 used to identify fields in message binary format

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Protocol buffers: example

ProductInfo service interface (see slide 107)

```
// ProductInfo.proto
syntax = "proto3";
package ecommerce;

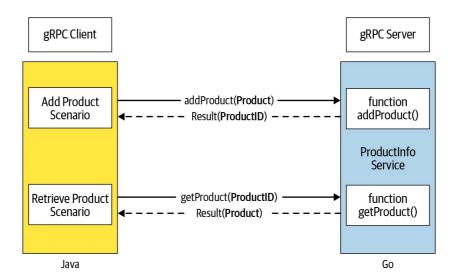
service ProductInfo {
    rpc addProduct(Product) returns (ProductID);
    rpc getProduct(ProductID) returns (Product);
}

message Product {
    string id = 1;
    string name = 2;
    string description = 3;
}

message ProductID {
    string value = 1;
}
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```

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Client-server interaction: example



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gRPC: basic steps

- Define service (collection of remote methods) and message types that are exchanged between client and service in .proto file using protocol buffers as IDL
- 2. Generate server and client code using protoc (protocol buffer compiler) in your preferred language(s) from your proto definition
 - Go: compile manually using protoc command
 - Java: use build automation tools like Bazel, Maven, or Gradle
- 3. Use gRPC API in your preferred language (e.g., Go, Java, Python) to write service client and server
 - Let's consider Go: gRPC-Go

gRPC: greeting example in Go

- See Quick start and helloworld example
- 1. Define service (helloworld.proto file)

```
package helloworld;

// The greeting service definition.
service Greeter {
    // Sends a greeting
    rpc SayHello (HelloRequest) returns (HelloReply) {}
}

// The request message containing the user's name.
message HelloRequest {
    string name = 1;
}

// The response message containing the greetings
message HelloReply {
    string message = 1;
}

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```

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gRPC: greeting example

2. Compile service definition:

```
$ protoc --go_out=. --go_opt=paths=source_relative \
    --go-grpc_out=. --go-grpc_opt=paths=source_relative \
    helloworld/helloworld.proto
```

- Generated files:
 - helloworld.pb.go: contains protocol buffer code to populate, serialize, and retrieve request and response message types
 - helloworld_grpc.pb.go: contains
 - Interface type (or stub) for clients to call with methods defined in Helloworld service
 - Interface type for servers to implement, also with methods defined in Helloworld service

gRPC: greeting example

3. Create server: composed of two parts

 Implement service interface generated from service definition: the actual "work"

```
func (s *server) SayHello(ctx context.Context,
   in *pb.HelloRequest) (*pb.HelloReply, error) {
   ...
}
```

b. Create and run a gRPC server to listen for requests from clients and dispatch them to service implementation

```
lis, err := net.Listen("tcp", port)
if err != nil {
    log.Fatalf("failed to listen: %v", err)
}
s := grpc.NewServer()
pb.RegisterGreeterServer(s, &server{})
s.Serve(lis)
```

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gRPC: greeting example

4. Create client

 To call service methods, we first need to create a gRPC channel to communicate with the target server using Dial

```
conn, err := grpc.Dial(target, opts...)
```

- Then we need a client stub to perform RPCs: we get it using pb.NewGreeterClient provided by pb package generated from .proto file.
- c := pb.NewGreeterClient(conn)
- Then we call service methods on client stub: we create and populate a request protocol buffer object (HelloRequest) and pass a context object which lets us change RPC's behavior if necessary (e.g., time-out/cancel RPC in flight)

```
r, err := c.SayHello(ctx, &pb.HelloRequest{Name: name})
```

gRPC: greeting example

- Let's update gRPC service adding new method SayHelloAgain()
 - 1. Update .proto file
 - 2. Regenerate gRPC code using protoc
- Update server code to implement new method func (s *server) SayHelloAgain(ctx context.Context, in

```
*pb.HelloRequest) (*pb.HelloReply, error) {
    log.Printf("Received: %v", in.GetName())
    return &pb.HelloReply{Message: "Hello again " +
in.GetName()}, nil
}
```

4. Update client code to call new method

```
r, err = c.SayHelloAgain(ctx, &pb.HelloRequest{Name: name})
if err != nil {
   log.Fatalf("could not greet: %v", err)
}
log.Printf("Greeting: %s", r.GetMessage())
```

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gRPC: ProductInfo example

- Let's analyze ProductInfo example
- 1. Define service
- 2. Implement server and client in Go
- 3. Implement server and client in Go in Java

Go code available on course web site Java code on github

gRPC: types of RPC methods

- gRPC supports 4 kinds of service methods that can be defined in .proto file
- See routequide example
- Simple RPC: client sends a request to server and waits for a single response to come back (i.e., unary)
- A normal function call rpc SayHello (HelloRequest) returns (HelloReply) {}
- Server-side streaming RPC: client sends a request to server and gets a stream to read a sequence of messages back
 - gRPC guarantees message ordering within an individual RPC call

```
rpc ListFeatures(Rectangle) returns (stream Feature) {}
```

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gRPC: types of RPC methods

- Client-side streaming RPC: client writes a sequence of messages and sends them to server, waits for the server to read them and return its response
 - gRPC guarantees message ordering within an individual RPC call

```
rpc RecordRoute(stream Point) returns (RouteSummary) {}
```

- Bidirectional streaming RPC: both sides send a sequence of messages using a read-write stream (i.e., full duplex)
- gRPC preserves the order of messages in each stream
 rpc RouteChat(stream RouteNote) returns (stream RouteNote) {}

gRPC: Weaknesses

Limited browser support

- No direct call of gRPC service from browser because of lack of full HTTP/2 support
- gRPC-Web can provide gRPC support in browser but limited features (only simple RPC and limited server streaming)

Non-human readable format

- Protocol buffers is efficient to send and receive, but its binary format is not human readable
- Developers need additional tools (e.g., gRPC command-line tool) to analyze protobuf payloads on the wire, write manual requests, and perform debugging

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